Patent

TENT AND TRADEMARK OFFICE IN THE UNITED STATES

Re: Information Disclosure Applicant: Graeme John Provd Or Statement

MAR 0 5 2002

Serial No.: 09/920,554

Group: not yet assigned

Filed: August 1, 2001

Examiner: not yet assigned

For: "PERFORMANCE OF A SERVI

Our Ref: B-4240 618934-9

ON A COMPUTING PLATFORM"

Date: February 26, 2002

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

Technology Center 2100

Sir:

In accordance with the Applicant's duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on Form-1449 (modified) include those cited in the Search Reports of British Application No. GB 0020441.2 page) and European Application No. EP 99307380.8 (2 pages). A copy of each Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicant believes that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance

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of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231",

on February 26, 2002 by Suzanne Johnston.

Richard P. Berg

Attorney for Applicant

Respectfully submitted,

Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (2 pages) Copy of British Search Report of GB 0020441.2 (1 page)

Copy of European Search Report of EP 99307380 (2 pages)

Copy of documents listed on Form PTO-1449 (modified)





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Form PTO-1449 (Modified TRADE	ATTY DOCKET NO. B-4240 618934-9	u.s. serial no. 09/920,554	
LIST OF PATENTS AND PUBLICATIONS	APPLICANT Graeme John Proudler		
STATEMENT	FILING DATE August 1, 2001	GROUP not yet assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	5,359,659	10/94	Rosenthal	380	4	
	5,404,532	4/95	Allen, et al.	395	700	
	5,421,006	5/95	Jablon, et al.	395	575	
	5,473,692	12/95	Davis	380	25	
	5,572,590	11/96	Chess	380	4	
	5,619,571	4/97	Sandstrom, et al.	380	4	
	5,680,547	10/97	Chang	395	200.01	
	5,694,590	12/97	Thuraisingham, et al.	395	600	
	5,844,986	12/98	Davis	380	4	
	5,867,646	2/99	Benson, et al.	395	186	
	5,933,498	8/99	Schneck, et al.	380	4	
	5,987,608	11/99	Roskind	713	200	
	6,006,332	12/99	Rabne, et al.	713	201	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
2 187 855	6/97	CA			
0 304 033 A2	2/89	EP			
0 421 409 A2	4/91	EP			
0 510 244 A1	10/92	EP			
0 825 511 A2	2/98	EP			
0 849 657 A1	6/98	EP			

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0 895 148 A1	2/99	EP	 	
1 030 237 A1	8/00	EP		
1 055 990 A1	11/00	EP		
1 056 010 A1	11/00	EP		
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98/36517	8/98	WO		
98/44402	10/98	WO		
98/45778	10/98	WO		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Bontchev, V., "Possible Virus Attacks Against Integrity Programs and How to Prevent Them," Virus Bulletin Conference, pp. 131-141 (September 1992).
Radai, Y., "Checksumming Techniques for Anti-Viral Purposes," Virus Bulletin Conference, pp. 39-68 (September 1991).
Schneck, P.B., "Persistent Access Control to Prevent Piracy of Digital Information," <i>Proceedings of the IEEE</i> , Vol. 87, No. 7, pp. 1239-1250 (July 1999).
IBM Corp., "System for Detecting Undesired Alteration of Software," IBM Technical Disclosure Bulletin, Vol. 32, No. 11, pp. 48-50 (April 1990).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.